

8-20-01 A

**NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate
(check, if applicable)

jc882 U.S. PTO
08/17/01

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. 053884-5003
First Named Inventor: Simon Rhodes
Express Mail Label No. EL719361901US
Total Pages of Transmittal Form: 2

jc986 U.S. PTO
09/932367
08/17/01

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**GENERATION OF DIAGNOSTIC TOOLS TO ASSAY THE HUMAN LHX3/P-LIM/LIM-3
FACTOR**

which is:

an ☐ Original; or

a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)
of prior Application No. PCT/US00/04424 filed February 22, 2000.

Anticipated Group/Art Unit: _____ or Class _____, Subclass _____.

☐ This non-provisional patent application is based on Provisional Patent Application
No. 60/121,110, filed February 22, 1999.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 185 pages.
☐ Application Data Sheet.
☒ Newly unexecuted Declaration (original/copy).
☐ Copy of Declaration from prior application.
☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
☒ 52 sheets of drawings (formal) plus one copy.
☐ Microfiche computer program (Appendix).
☒ Nucleotide and/or Amino Acid Sequence Submission, including:
 ☒ Computer readable copy ☒ Paper Copy ☒ Verified Statement.
☐ Under PTO-1595 Cover Sheet, an assignment of the invention
☒ Name of Assignee: Indiana University Advanced Research and Technology Institute
☐ Certified copy(ies) of _____ Application No(s). _____ filed _____ is/are filed:
 ☐ herewith or ☐ in prior application &@.
☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37
C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☒ a
Non-Profit Organization.
☒ Preliminary Amendment.
☐ Information Disclosure Statement, PTO-1449, and cited references.
☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)
☐ Other:

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$355			BASIC FEE: \$710	
Total	68-20 =	48	X9	\$ 432.00	OR	X18	\$
Independent	29-3 =	26	X40	\$ 1040.00	OR	X80	\$
<input type="checkbox"/> Multiple Dependent Claims Present			\$135	\$	OR	\$270	\$
			TOTAL	\$ 1827.00	OR	TOTAL	\$

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ A check in the amount of \$1827.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-0310 (Billing No. 053884-5003)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$_____ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

August 17, 2001
(Date)

By:

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KD/kck
Enclosures